

AUTONOMY, AGENCY, AND SOCIAL CAPITAL: SURFING THE ALTRUISTIC CORAL REEF CAFÉS ON A 40-MILE LAYER OF LIFE!

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This paper begins with a brief recap of human history to show how our curiosity plays a central role in our development, leading us on to more agency within communities, and finally to more empathetic altruism. I contend that we have the ability to dynamically adjust in order to harmonize ourselves to a wide range of situations, balancing autonomy and community dialectics. I describe how we naturally learn from near-peer role models and how it may take a little work to get ourselves out of our comfort zones in order to model diversity. I argue that we normally position ourselves more toward the comfort of homogeneity, whereas we need to push ourselves to try new things through mixing with diversity, in order to really savor our time on this glorious planet.

本論は、人類の歴史を振り返りながら、私たちの好奇心が、社会（コミュニティ）において、個人のエージェンシーと自律性を高めることにはいかに影響を与えてきたか、そして最終的に共感できる利他主義に繋がるかを考える。我々人間はオートノミーと協調性／社会性を様々な環境や状況に合わせて調和する能力を持ち合わせている。そして私たちが自然とまわりにいるニアピア・ロールモデルから学んでいること、また、自分の心地良い空間から外に出て多様なロールモデルから学ぶことの難しさを考察した上で、その重要性を訴える。同質・同種の心地良さに捕われず、多様性に触れながら新しいことへ挑戦することで、この素晴らしい地球で「今」生きていることを味わえるのではないだろうか。

“I think the human spirit always wants to make a contribution. And I don't think there are enough invitations” (Angeles Arrien as quoted in Briskin, Erickson, Ott, & Callanan, 2009, p. 156).

So, let's make more invitations. (Tim Murphey, Oct. 29th, 2011)

This paper's goal is to playfully, briefly, and selectively look at the anthropological history of humanity (only the last six million years!) and to combine that with some views on our agency, social capital, and altruism. It is my belief that the cultivation of these three capacities is the primary purpose of education. Fortunately, the cultivation of these things is not only relevant,

but eminently possible in language classes, in which “all subjects are ours” (Rivers, 1975, p. 96). I would like to invite you (readers) to engage in an experience similar to the one offered to my audience at my presentation in Nagoya. If you play along and answer the questions below (preferably with someone else), you will get an even better idea of what was happening at the conference.

I started my presentation with the following playful questions on a handout (Brown, 2011). Please try to answer them yourself for a moment before reading the rest of the article.

1. Why do you think humans stood up 6,000,000 years ago?
2. Why do you think women started birthing earlier about the same time?
3. What were the negative and positive (A B C) results of early birthing?
A)
B)
C)
4. How is hip hop going to affect future physiology and possibly brain functioning?
5. Why is a turtle trying to fly more beautiful than a bird sitting in a tree?

Figure 1. The questions given on the handout (taken from Brown, 2011).

Research by Rodiger and Finn (2009) shows that, even if you initially get the answers wrong, having thought about the possible answers first (wrong or right) makes your retention stronger (once you get the right answers) than if you were just given the right answers immediately. Struggling with possible answers creates neurological networks that are more robust. The struggling makes questions more important.

Below, I ask you to put on several hats to stimulate your imagination and your learning. As hats often do, they resemble each other a bit, but are also unique and multifunctional.

Putting on the Anthropologist's Hat

Anthropologists estimate that humans began standing up six million years ago. Why this is important for linguists and teachers will soon become apparent. There are several theories about why we stood up—the most likely being we had a sense of curiosity and wanted to see farther. Some think it was so we could run faster, but, actually, quadrupeds run faster than bipeds. So, standing may have allowed us to see farther, for example, if some dangerous animals were coming, but standing also made our getaway slower. Others believe it was to reach higher fruit in the trees. But since we were already climbing trees, that seems unlikely.

One result of standing and walking on two legs was that our hip structures were reshaped. This resulted in the reshaping of the birth canal of women, and they began giving birth to babies

much earlier. Women went from having babies after 12 months of gestation to nine months. This perhaps had dangerous repercussions for the species, possibly reducing our numbers at first, until we figured out how to take care of prematurely born children. However, gradually, caretakers realized the infants needed more care, and they started to attend to them for a longer and longer period of time. This *attending* was crucial, not only for the survival of the individuals, but also for the development of the species. I stress this attending because it meant that caretakers spent more time with infants and bonded with them in ways that probably encouraged more extended and intensive communication. Language may very well have evolved through caretakers and infants babbling to each other playfully and affectionately and staying with each other long enough to develop a shared repertoire of signs (Lee, Dina, Joaquin, Mates, & Schumann, 2010; Murphey, 2011).

Another innovation that occurred in every known group of humans on the planet is the advent of midwives. A much earlier birth was not only hard on babies, but coping with narrower birth canals meant that women needed help—midwives—to assure that they and their infants lived. Premature children with a difficult birthing, along with communal hunting and gathering of food, brought people together to help each other survive. These beginnings of communities led to cultures and eventually to civilization as we know it (not yet perfect, but ever improving with its ups and downs).

The basic human drives above are interesting to note: curiosity, adaptability, and altruism through bonding. It seems we are often at our best in the worst of times. These are some of the best characteristics of the species. However, at times, we also have the opposite tendencies—to merely do what has been done, force old ways, and to care only for ourselves. And, whereas community and belonging help us survive, they are not always positive, but can lead to some negative tendencies, such as prejudice against nonmembers, war, and group-think. As for the last two questions on the handout, the answers will come in due course. Relax.

Putting on the Dialectician's Hat: Autonomy and Social Capital

Stewart and Irie (2011) wrote a wonderful first chapter to *Realizing Autonomy* in which they note numerous initial contradictions, or dialectical pairings, involved in researching autonomy, and in particular, “freedom and constraints” within a culture (Bauman, 1999). Stewart and Irie argue for Marx’s praxis, citing Thornbury’s afterword where he wisely concludes that “individual independence might best be viewed as a consequence and natural outcome of mutually-supporting community practices” (p. 264). The chapters in the book are great examples of not only struggling with and learning from the contradictions involved in fostering autonomy in classrooms, but, also, of mutually supporting community practices in the very writing of the book. The authors are all to be applauded. The editing process brings to mind Hanks’s (1991) contention that “structure is more the variable outcome of action than its invariant precondition” (p. 17). The authors’ collaborative actions have resulted in a great book, with a bit of magical restructuring from Irie and Stewart no doubt. As the *Realizing Autonomy* example

illustrates, individual agency, social capital, and altruism are intimately connected. Individuals who come together, aggregate their efforts, and pool their resources thereby increase their social capital, that is, the worth they can generate through social contacts. In addition, the altruistic efforts they make to collaborate with each other contribute to the development of new structures and outcomes (procedures for peer editing, draft chapters, and ultimately a book), and this further enhances each individual's social capital.

Earl Stevick touches on these dialectics in terms of “inside and between.” In teaching, Stevick (1980) introduced the metaphor of “harmony” to balance these two incommensurable positions, and proposed that the main inducer of effective learning is the ever-changing rapport among people: “Success depends less on materials, techniques, and linguistic analyses, and more on what goes on inside and between the people in the classroom” (p. 4). He went on to suggest that, “The most important aspect of ‘what goes on’ is the presence or absence of harmony—it is the parts working with, or against, one another” (p. 5). This harmony among the parts, the opposites, and their balancing, are, for me, keys to making activities and, I dare say, life meaningful.

In Figure 2, I try to schematically represent Stevick's (1980) sense of harmony and various dialectical pairings by means of a diagram. Gal'perin termed such diagrams *Schemes for the Orienting Basis of Action*, or SCOBAs, and these SCOBAs “provide learners with resources that are then formulated as a plan of action...” (cited in Lantolf, 2011, p. 38). The figure is merely meant to help us think. I do not equate “freedom and constraints” with “inside and between” and “intermental and intramental,” nor with “autonomy and community,” but they all are similar in some obvious ways. We often think that we are free, but, when attached to a group, we can feel constrained. At the same time, the flip side of this constraint is that, “Freedom's just another word for nothing left to lose,” as the country singer Kris Kristofferson recognized in his song, *Bobby McGee*. So freedom is not good or bad in and of itself, but, rather, our thinking and the context make it so. Still, at the extremes of the dialectics, I would suggest that our thinking has reduced power, and we lose our ability to direct ourselves as the context consumes us. In other words, being radical autonomy-seekers, like the lone cowboy, might deprive us of contexts in which we can interact and learn from others and leave us isolated in the end. Thus, somewhat ironically, we need to use our autonomy to stay in touch with our communities so that we can learn more and, paradoxically, become even more autonomous. By the same token, trying to be too autonomous—when it means isolation—ends up making us less autonomous, because we learn less from others about how we might control our lives more. When we can keep our behavior and thinking within the balancing circles (which, just to complicate matters, are always on the move), we are more likely to maintain a balance between autonomy and community.

This balancing, a cycling movement between the extremes, helps us maintain a healthy life. A diagram, of course, is not moving and, thus, is limited in its capacity to show the continual adjusting and moving of all the parts (so please use your imagination). There is potential trouble with going too far to either extreme (becoming a lone cowboy or a sheep) and there is

wisdom in changing and adapting as a person-in-context (Ushioda, 2009) and being more or less centered while continually moving. In the circle below, one can ideally move about, adjusting appropriately to contextual needs and enjoying the benefits of all the pairs of opposites. At times we might drift toward an extreme, but, hopefully, we are soon brought back into the harmonizing circle that is continually changing. While this may be described as the fusion of opposites, I would prefer to describe it as an awareness of the need to strike a balance between extremes, trying to maximize the positive attributes of any extreme that lures us, while at the same time avoiding its negative aspects.

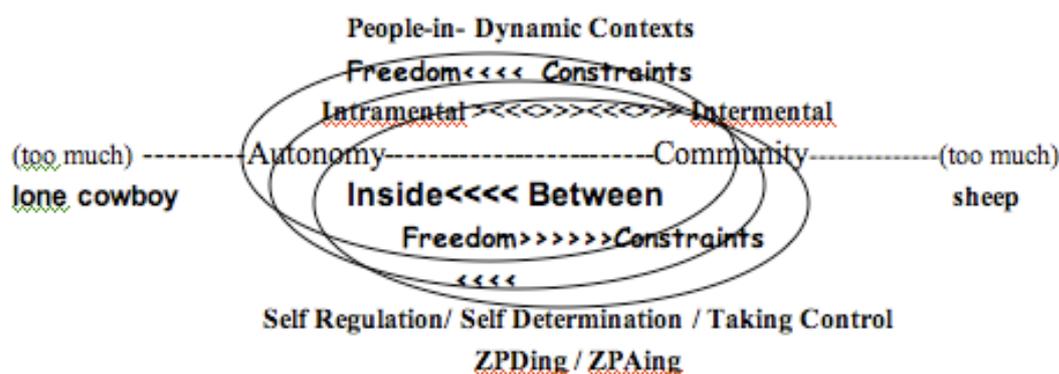


Figure 2. Balancing autonomy and community: Dynamic dialectical adjusting harmonizer (DDAH!) (Sing it!)

Extrapolating from the diagram (having a conversation with the SCOPA and letting it teach me), I think the model is also suggesting that the more we balance ourselves through moving, but staying centered, the more we are likely to enjoy being relatively self-regulated and self-determined, i.e., taking more control over our lives. This moving to stay in more or less harmony opens our Zones of Proximal Development (ZPDs) (Vygotsky, 1978) and Zones of Proximal Adjustment (ZPAs) (Murphey, 1996a, 2000, in press), in which we develop, activate, and expand our abilities to learn and adjust to others so they, in turn, can open up their ZPDs and ZPAs and learn more from us. The use of the concept ZPA implies that we all have different and developing capacities to adjust to others in different contexts.

Stevick's (1980) image of harmony draws from music, which is moving waves in the air. Of course, not all sounds are music to our ears, but, again, context and experience can sometimes change our perceptions of otherwise discordant sound. Still, no movement, no waves to hear = no chance of harmony. We need to move to have a chance of creating harmony. To illustrate using the example of another sense, children quickly find out, when they have no training wheels on their bikes, that biking requires continual movement, or you fall over.

This ability to move and to process information and to change (harmonize) as need be is similar to Carol Dweck's (1999, 2007) incremental theory of intelligence (growth mindset) in which learners accept that they learn little by little and that mistakes are part of the territory of learning. When, by contrast, students operate with an entity theory (fixed mindset), they believe that, whether good or bad, that is the way they are and that nothing can change it, and thus they don't really try to change things. Even if learners have a positive first appraisal—"You're great!"—they often do not want to risk losing the label "great" by trying things at which they might fail. When learners operate with an incremental theory or growth mindset, they accept that they are forever changing, moving, and learning how to adjust in order to make harmonious relationships and attune themselves to others. Students with such beliefs are more open to learning new things and accepting mistakes as they go along. Both harmony in relationships with others and fluency in language are incrementally realized through a growth mindset that naturally has its ups and downs. Incremental theories are process-oriented, harmonizing theories for tuning our instruments in continually emerging realities and symphonies.

Putting on Another Dialectician's Hat: Homogeneity and Diversity

Among the crucial survival strategies that we have developed are our abilities to commune, collaborate, and communicate—what Goleman (2006) calls our social intelligence. With increased social intelligence comes increased social capital, i.e., the value of our connections. And the more we exercise our social intelligence, the more we can learn, act, and control our own lives, further increasing our social capital. We would indeed be shooting ourselves in the proverbial foot if we cut off the main source of our autonomy, which very clearly is our modeling and learning with others in groups. Of course, balancing our time and effort between community and self can be tricky; it is clear that we need both community and self, but at times we stray too much to extremes. In the context of our classrooms, while more autonomy for the individual may be the goal, paradoxically, this learning of autonomy may happen most often in highly interactive groups (Thornbury, 2012), or, in another way of describing them, positive *present communities of imagining* (PCOIz) (Murphey, 2009; Murphey, Falout, Fukada, & Fukuda, 2012; Murphey & Falout, in press). A good read on community is *The Power of Collective Wisdom and the Trap of Collective Folly* by Briskin, Erickson, Ott, and Callanan (2009). While focusing mainly on the positive, the book also describes some of the terrors of group-think and group protectionism, ranging from harmful and prejudicial social acts to violence.

Through all this writing about self and community, I hear Walt Whitman shouting delightfully from his rooftop, "Do I contradict myself? Very well, then, I contradict myself! I contain multitudes!" (*Leaves of Grass*, sec. 51, line 1321). He might as well have said, "I contain communities!" since it is from our communities that we draw so much of what we know, do, and say. As Bakhtin (1981) says, "The word in language is half someone else's. It becomes 'one's own' only when the speaker populates it with his own intention, his own accent, when he appropriates the word, adapting it to his own semantic and expressive intention" (p. 294).

Pearce (1971), and certainly others before him, intuitively sensed how “a kind of rough mirroring takes place between our mind and our reality” (p. 1), shaped greatly by our community. Hatfield, Cacioppo, and Rapson’s volume, *Emotional Contagion* (1994) detailed how we “catch” each other’s emotions. A few years later, I described near-peer role modeling (Murphey, 1996b; Murphey & Arao, 2001) in which not only emotions were caught by others, but so too were strategies, beliefs, and behaviors. In 1998, Judith Rich Harris woke a lot of people up with her book, *The Nurture Assumption*, illustrating with powerful data the subtitle, *How Parents Matter Less and Peers Matter More*. At about the same time in the 1990s, mirror neurons were discovered in monkeys. Mirror neurons basically allow our brains to virtually simulate whatever it is that we are looking at, such that, when I see you reach for a glass, similar neurons in my brain will be firing in the same pattern as if I were actually reaching for a glass. Similarly, when we look at a sad face, we tend to feel sad along with the person. Thus, Ramachandran (2011) even goes so far as to call mirror neurons “Ghandi neurons”, since they often elicit empathetic and altruistic tendencies. Mirror neurons have great importance for the learning and socializing habits of humans, as well as for the spread of civilization (Ramachandran, 2011).

The above three paragraphs support the idea of forming homogeneous communities and learning from them. This works—to a point. However, homogeneous groups can only get us so far. Then they need something else—they need a shot or two of diversity. Learning a foreign language is wrestling with diversity: diverse people, diverse customs, diverse pronunciations, diverse syntax, diverse expressions, and, hopefully, finding joy in the diversity and ending up identifying with the diversity. Learning a new language is also about opening up to new communities. With Figure 3, I hope to communicate that, in describing our communities, there is a further dialectic of *homogeneity* and *diversity*. Once again, the extremes are generally unproductive: overly homogeneous communities can be boring and lack innovation, as they wish to replicate the status quo, and overly diverse communities can be difficult to coordinate, both of which could explain why some people prefer “doing it” on their own after having had bad experiences with groups at the extremes. Most people naturally do near-peer role modeling (Murphey & Arao, 2001; Singh, 2010), which works mostly in the domain of homogeneity, and teachers can use this natural tendency to help students learn more. But life is pretty boring if you just stay with people who are like you. Still, we tend to naturally gather around the single asterisk (*) in Figure 3 on the left of the line below, under homogeneity. However, getting out and mixing with diverse people leads to a richer and fuller experience of what is offered to us on the planet. My ideal for my students would be to stray to the side of diversity around the double asterisk (**). Of course, when things are too diverse, they can get very complicated and chaotic. So, even something as good as diversity has its limits, and we need to be careful of extremes. Thus, again, we have a DDAH! to help us adapt and move from homogeneity to more diversity and back again when needed.

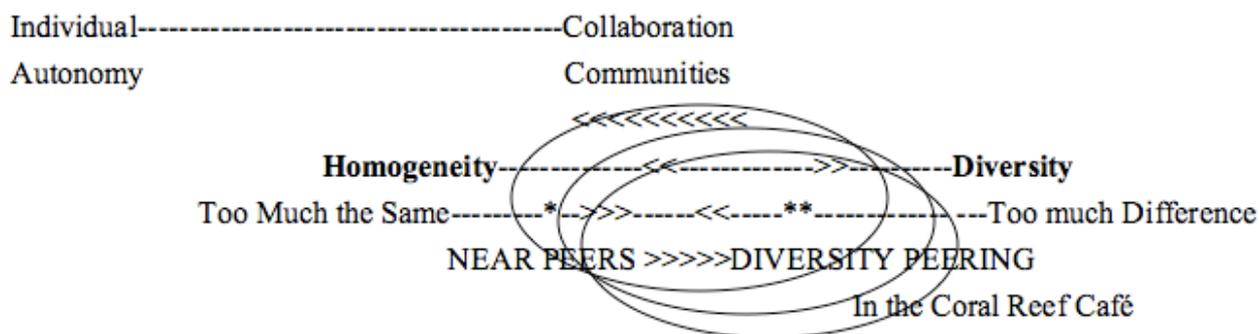


Figure 3. Balancing homogeneity and diversity: Dynamic dialectic adjusting harmonizer!

(DDAH!)

In his book, *Where Good Ideas Come From*, Stephen Johnson (2010) uses the metaphor of the coral reef and the café as places of diversity, creativity, and activity—somewhat scary places for those who have not ventured far from the cave. However, it is only in venturing out that we can interact with diversity and become someone different through incorporating others into our mental networks and increasing our social capital. And once we are engaging in different communities, our role models tend to shift as our minds, and our mirror neurons naturally model the different people around us, and we begin *diversity modeling* (Murphey, in press) through simulation (Iacoboni, 2008). This could also be called *diversity peering*, which is the bringing of diverse people, who are quite different from us, into our realms of imagination and modeling them, such that they become our peers (even if only imaginary). Children tend to do this much more easily, and are adaptable and flexible in this regard, not only with people, but with animals, plants, and even objects in the world. (See also, along this vein of thought, Jocey Quinn's (2010) lovely book on imagined social capital.)

Note that it is also quite frequent that whole fields migrate toward homogeneity in search of a firm identity (Kuhn, 1970), but, in doing so, they can greatly deprive themselves of diversity resources. In our own field of SLA, we had a strong movement for a while that suggested *theory culling*. But then some brave people dared to speak up for the rights and benefits of diversity. Two such publications include *The Social Turn in Second Language Acquisition* (Block, 2003) and, more recently, *Alternative Approaches to Second Language Acquisition* (Atkinson, 2011). Of note is Lourdes Ortega's final chapter in Atkinson's volume, in which she describes our choices:

...We have a choice in SLA studies among entrenchment, incommensurability, and epistemological diversity. Entrenchment is likely to be a temperamental reaction that is unsustainable in the long run. Incommensurability is an option that some may find merit in at this juncture in the history of SLA studies. I want to argue that the third option, **epistemological diversity, is the best choice.** (Ortega, 2011, p. 176)

Peter Block (2008), citing Putnam and Feldstein (2003), describes social capital, or community-network benefits, which, interestingly, allows us to see epistemological diversity in an even better light:

Bonding social capital are networks that are inward looking, composed of people of like mind. Other social networks “encompass different types of people and tend to be outward looking—bridging social capital.”... And as Putnam and Feldstein (2003) put it, “A society that has only bonding social capital will be segregated into mutually hostile camps. So a pluralistic democracy requires lots of bridging social capital, not just the bonding variety.” (p. 18)

Bonding social capital, good group dynamics, and near-peer role modeling are all powerful tools for classroom teachers in the initial stages of bonding. However, after bonding, greater stimulation can be found through bridging social capital, challenging the unknown (Murphey, 1989), and diversity-peering. Inviting opportunities for bridging social capital and Ortega’s (2011) epistemological diversity create spaces for diversity-peering and enlarging the autonomous self through identifying with others. In other words, putting diverse people together first helps with acceptance that leads to affiliation and identification. We tend to identify with those we live and work with most. When diversity is around us, we begin to identify with it, bond with it, and empathize with it. Ergo, we contain multitudes. (N.B. You can also try out the diversity peering survey in Appendix A.)

Putting on the Altruist’s Hat

Our species’ initial drives (curiosity, adaptability, and altruism through bonding, as we saw with our anthropologist’s hats) have served us well. However, as noted above, too much bonding social capital can sometimes create more conflicts, and we need to look at how we can build more bridging social capital and identify with diversity. I invite you to see ourselves as being in the invitational profession. We can invite students to look into the diverse lives of others who have become human rights activists, pacifists, ecological advocates, and others who have adopted international postures (Yashima, 2009). We can identify with not only strangers in the street, but with diverse others in strange lands through the massive media networks. With animal rights and ecological activists, we might even start identifying with all of nature, and, finally, with Gaia (Earth) herself as a living entity (Cates, 2005). These sideways steps of identification are imaginable because our brains are wired for such imagination to ensure survival—not just survival of ourselves, but of others and the planet because we essentially depend upon each other—we are part of Gaia.

“The entire reach of the biosphere envelope is less than 40 miles from ocean floor to outer space. Within this narrow band, living creatures and the Earth’s geochemical processes interact to sustain each other” (Rifken, 2009, p. 597). Some





people commute 40 miles daily to school or work. It's just a thin layer of life on a large planet that already has a few holes here and there. Along with Rifken, I am curious as to whether we have the adaptive capacities necessary to survive as a species on the planet, or whether our energy addiction practices will, in the end, disable life on the planet.

Our propensity toward altruistic action may be our saving grace—an altruism that expands exponentially in groups of people who belong, bond, and bridge.

So notice is given. You're all invited. Now, go change the world and have a good life.

And remember... invite your students to join you.

Post Script

It was my students who asked question #4 How is hip-hop dancing going to affect future physiology and possibly brain functioning? And I have no answer.

Question #5: Why is a turtle trying to fly more beautiful than a bird sitting in a tree? A turtle trying to fly is attempting to do something, even if it seems impossible, and that is beautiful in its own way. I believe this is also a characteristic that our species shares with turtles—and possibly with life on earth.



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Appendix A

Diversity Peering Survey

1. How many people in your professional environment have you regularly collaborated with (that you see on a **monthly if not weekly or daily basis**) in the last year? Write their names: _____ total # _____

2. Occasional collaborators – you collaborated **one or more times this past year** on a project? (paper, presentation, class lessons and study, curriculum, reports, etc.) Write their names: _____ total# _____

3. How many of the **people above** are of a different **gender**? total# _____

4. How many of the people above are 10 years older or **younger**? total# _____

5. How many of the people above are of a different **nationality**? total# _____

6. How many of the people above are of a different **ethnicity**? total# _____

7. How many of the people above are not in your **immediate field**? total# _____

8. What is your total 3 through 7 added up: _____ **How do you feel about this?

9. **What kind of people** would you like to collaborate more with? _____

10. Where might you **find these people**? _____

** Note: There are no absolute scores for how collaborative someone is. It is up to you and relative to your context and desires. Research does say however that the more diverse the partners are, the more beneficial the collaboration usually is (thus questions #3-7). Send comments to Tim Murphey at mits@kanda.kuis.ac.jp

Never doubt that a small group of thoughtful committed people can change the world. Indeed, it is the only thing that ever has. Margaret Mead